

# Self-Assembled Antibody Multimers through Peptide Nucleic Acid Conjugation

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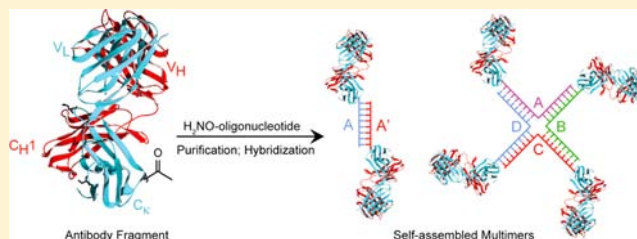
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## Supporting Information

**ABSTRACT:** With the recent clinical success of bispecific antibodies, a strategy to rapidly synthesize and evaluate bispecific or higher order multispecific molecules could facilitate the discovery of new therapeutic agents. Here, we show that unnatural amino acids (UAAs) with orthogonal chemical reactivity can be used to generate site-specific antibody–oligonucleotide conjugates. These constructs can then be self-assembled into multimeric complexes with defined composition, valency, and geometry. With this approach, we generated potent bispecific antibodies that recruit cytotoxic T lymphocytes to Her2 and CD20 positive cancer cells, as well as multimeric antibody fragments with enhanced activity. This strategy should accelerate the synthesis and *in vitro* characterization of antibody constructs with unique specificities and molecular architectures.



## INTRODUCTION

Currently, there is considerable effort focused on the development of bispecific antibodies with two or more distinct specificities in a single therapeutic molecule.<sup>1–4</sup> A number of such antibodies have been engineered to simultaneously bind two differentially expressed antigens, rather than one, on a given target cell surface to achieve improved cellular selectivity. For example, a bispecific antibody that binds the epidermal growth factor receptors ErbB2 and ErbB3 is currently in clinical trials for breast cancer.<sup>5</sup> Bispecific antibodies can also be engineered to simultaneously bind a surface antigen on one cell type and a second antigen on a distinct effector cell. Indeed, a bispecific antibody that binds the B-cell antigen CD19 and the cytotoxic T-lymphocyte antigen CD3 has shown impressive activity in clinical trials for acute lymphoblastic leukemia (ALL).<sup>6</sup> Several methods have been developed to generate bispecific antibodies, including single chain variable fragment (scFv) constructs such as BiTEs (bispecific T-cell engager),<sup>7</sup> DARTs (dual affinity retargeting)<sup>8</sup> and diabodies,<sup>9,10</sup> and full-length immunoglobulin G (IgG) constructs such as Triomab,<sup>11</sup> DVD-Ig (dual variable domain antibodies),<sup>12</sup> “knobs into holes”,<sup>13</sup> and two-in-one antibodies.<sup>14</sup> In addition, chemical cross-linking methods have been developed based on relatively nonspecific electrophilic modification of lysine or cysteine residues.<sup>15</sup> However, these approaches generally require

considerable engineering to optimize the biological and biophysical properties of the resulting molecules. For example, bispecific molecules synthesized as genetic fusions require flexible linkers that can lead to instability and aggregate formation, or immunogenicity. Additionally, fusion proteins can only be made in N to C or C to N terminal orientations, severely limiting the relative geometries of the individual antibody fragment subunits; such fusions are also not easily adapted to higher order structures involving three or more antibody combining sites. Chemical methods generally create heterogeneous mixtures with a range of stoichiometries and geometries that are not easily optimized. A synthetic strategy to rapidly self-assemble antibody fragments into multimers with control over composition, valency, and geometry would provide a useful drug discovery tool to test a large number of combinations of antibodies for optimal activity in a given cellular system.

The selective Watson–Crick base pairing properties of oligonucleotides has been used to assemble a large number of diverse chemical structures from nanoparticle arrays and protein nanostructures to DNA knots.<sup>16,17</sup> By site-specifically coupling either oligonucleotides or peptide nucleic acids

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(PNAs) of defined sequences to scFv or Fab fragments of antibodies, it should be possible to similarly assemble multispecific antibody-like molecules with novel biological activities. Such a system would allow combinations of antibody fragments with distinct specificities to be rapidly generated and screened in cellular assays for a desired activity. However, assembly of antibody fragments into defined structures requires the ability to synthesize protein–oligonucleotide (or PNA) substrates with precise control of the conjugation site and stoichiometry, which is difficult to achieve using standard conjugation procedures that produce heterogeneous mixtures. Recently, we showed that one can site-specifically modify antibodies in high yields using genetically encoded unnatural amino acids with orthogonal chemical reactivity relative to the canonical 20 amino acids.<sup>18</sup> Here, we apply this methodology to the generation of homodimeric, heterodimeric, and multimeric antibody fragments of defined structure based on the self-assembly of Fab–nucleic acid conjugates. Moreover, we show that these assemblies can efficiently modulate cell-signaling, kill cancer cells by the recruitment of cytotoxic effector cells, or directly induce cancer cell death.

## EXPERIMENTAL SECTION

**PNA Synthesis.** NovaPEG Rink Amide resin, Boc-protected aminoxy acetic acid and all peptide related reagents were purchased from Novabiochem, and were swollen in  $\text{CH}_2\text{Cl}_2$  before each reaction. PEG spacer (Fmoc-8-amino-3,6-dioxaoctanoic acid) was purchased from ASM (<http://www.asm-research-chemicals.com/>). PNA monomers were prepared as previously reported (Mtt-protected monomers;<sup>19</sup> Fmoc protected monomers<sup>20</sup>). Arginine-modified bases (indicated in bold) were added to increase solubility and reduce aggregation of the PNAs. Automated solid phase synthesis was carried out on an Intavis Multiprep RS instrument. LC–MS analyses were carried out using an HP 1100 series or Thermo Electron Corporation HPLC with a Thermo Finnigan Surveyor MSQ Mass Spectrometer System. A Thermo Scientific column ( $50 \times 2.1$  mm) was used. MALDI spectra (2,5-dihydroxybenzoic acid matrix) were obtained using a Bruker Daltonics Autoflex II TOF/TOF spectrometer. Reverse phase chromatography was performed on a Biotage Isolera One Instrument using a  $\text{H}_2\text{O}$ –MeCN (with 0.01% TFA) gradient from 100–0% to 0–100%. See Supplemental Methods in Supporting Information for detailed synthesis.

**Synthesis of Fab Multimers.** The 200  $\mu\text{M}$  Fab and 100 mM methoxy aniline catalyst (final concentration) were added to a reaction containing 15–30 $\times$  excess of aminoxy-modified PNA ( $\text{N}'\text{-H}_2\text{NO}(\text{EG})_n\text{-ACGCAACGCGGC-C}'$ ), 20% DMSO (final concentration) and 100 mM acetate buffer (pH 4.5). After 48 h at 37 °C, the excess PNA was removed by either Amicon filtration (30 kDa MWCO) or size exclusion chromatography (GE Healthcare, Superdex 75). The reaction was buffer exchanged into PBS (pH 7.4) and analyzed by SDS–PAGE and mass spectrometry. Oligonucleotide conjugation to  $\alpha\text{Her2}$  Fab was carried out as previously described.<sup>21</sup> The complementary PNA strand ( $\text{N}'\text{-H}_2\text{NO}(\text{EG})_n\text{-GCCGCGTTGCGT-C}'$ ) was coupled using the same procedure. The conjugates were hybridized 1:1 ( $\sim 10$   $\mu\text{M}$ , PBS pH 7.5, 30 min at 37 °C) and the dimer was purified using size exclusion chromatography (GE Healthcare, Superdex 200). To create the Fab tetramer, four orthogonal PNA sequences were conjugated separately to either  $\alpha\text{Her2}$  S202 pAcF Fab or  $\alpha\text{CD20}$  S202 pAcF Fab. The PNA sequences are as follows: (A)  $\text{N}'\text{-H}_2\text{NO}(\text{EG})_2\text{-ATCCTGGAGC}(\text{EG})\text{-TAAGTCCGTA-C}'$ , (B)  $\text{N}'\text{-H}_2\text{NO}(\text{EG})_2\text{-TACGGACTTA}(\text{EG})\text{-TCAATGAGGC-C}'$ , (C)  $\text{N}'\text{-H}_2\text{NO}(\text{EG})_2\text{-GCCTCATTGA}(\text{EG})\text{-ATCATGCGTA-C}'$ , and (D)  $\text{N}'\text{-H}_2\text{NO}(\text{EG})_2\text{-TAGGCATGAT}(\text{EG})\text{-GCTCCAGGAT-C}'$ . After removal of excess PNA, the Fab–PNA conjugates were further purified on a hydrophobic interaction column (GE Healthcare, Buffer A, 50 mM phosphate, 10 mM  $(\text{NH}_4)_2\text{SO}_4$ , pH 7.5; Buffer B, 50 mM phosphate, 750 mM  $(\text{NH}_4)_2\text{SO}_4$ ). The Fab–PNA conjugates were

then hybridized 1:1:1:1 ( $\sim 10$   $\mu\text{M}$ , PBS pH 7.5, 30 min at 37 °C) and the tetramer was purified using size exclusion chromatography (Superdex 200).

**Her2 Phosphorylation Assay.** SK-BR-3 cells (ATCC) were grown to 80% confluency in DMEM, 10% FBS and detached with 1 mL of trypsin–EDTA (Invitrogen). Cells were diluted 1:10 in media, and 100  $\mu\text{L}$  ( $\sim 10^4$  cells) of the dilution was plated in white 96-well tissue culture plates (Corning). After overnight adherence,  $\alpha\text{Her2}$  Fab conjugates were added to the cells (2.5  $\mu\text{g}/\text{mL}$  for DNA conjugates or 0.09–6  $\mu\text{g}/\text{mL}$  for PNA conjugates) and incubated at 37 °C for 45 min. Media was aspirated and 100  $\mu\text{L}$  of lysis buffer was added to each well (IC Diluent#12, R&D Systems, 10  $\mu\text{g}/\text{mL}$  Aprotinin, 10  $\mu\text{g}/\text{mL}$  Leupeptin) and incubated at 4 °C for 30 min. We prepared the ELISA plate (Nunc, maxisorp) by adsorbing 4  $\mu\text{g}/\text{mL}$  phospho-ErbB2 capture antibody (DuoSet IC Human Phospho-ErbB2 ELISA, R&D Systems) in 100  $\mu\text{L}$  per well overnight at room temperature. Wells were washed with PBST (PBS pH 7.4, 0.05% Tween 20) five times and blocked with 300  $\mu\text{L}$  of blocking buffer (1% BSA, 0.05%  $\text{NaN}_3$ , PBS pH 7.4) for 1 h. Wells were washed immediately prior to addition of the cell lysate, which was incubated at room temperature for 2 h. The anti-phospho-tyrosine–HRP detection antibody was diluted to the working concentration specified on the vial in IC Diluent #14 (20 mM Tris, 140 mM NaCl, 0.05% Tween 20, 0.1% BSA, pH 7.4). The plate was washed five times and 100  $\mu\text{L}$  of diluted detection antibody was added and incubated at room temperature for 2 h. The plate was again washed five times with wash buffer prior to addition of the detection reagent, QuantaBlue (Pierce). After 10 min, the relative fluorescence was measured (ex. 325, em. 420) using a SpectraMax 250 plate reader (Molecular Devices Corp.). Each experimental test was performed in triplicate. Error bars represent the standard deviation.

**Cytotoxicity Assays.** Peripheral blood mononuclear cells (PBMCs) were purified from fresh healthy human donor blood by conventional Ficoll–Hypaque gradient centrifugation. Purified PBMCs were washed and incubated in flasks in RPMI media with 10% FBS for 2 h to remove adherent cells, and then transferred to  $\alpha\text{CD3}$  (eBioScience) and  $\alpha\text{CD28}$  (eBioScience) antibody coated ELISA plates at 37 °C. After 3 days, the activated PBMCs were washed once with media and transferred into a flask and incubated with 20 units/mL IL2 (R&D Systems) for T cell proliferation. Her2-transformed MDA-MB-435 or nontransformed MDA-MB-435 cells (target cells) were dissociated with 0.05% trypsin/EDTA solution (HyClone) and washed with RPMI with 10% FBS. A total of  $1 \times 10^4$  target cells were mixed with PBMCs at 1:10 ratio in 100  $\mu\text{L}$ , and incubated with different concentrations (0.1 pM to 10 nM) of  $\alpha\text{Her2}$ – $\alpha\text{CD3}$  PNA heterodimer or unconjugated Fabs (10  $\mu\text{L}$  in media) for 16 h at 37 °C. Cytotoxicity was measured based on LDH (lactate dehydrogenase) levels in supernatant using a Cytotox-96 nonradioactive cytotoxicity assay kit (Promega). The absorbance at 490 nm was recorded using SpectraMax 250 plate reader (Molecular Devices Corp.). Percent cytotoxicity was determined with maximum killing controls and the formula: % cytotoxicity =  $(\text{Absorbance}_{\text{expt}} - \text{Absorbance}_{\text{spontaneous average}}) / (\text{Absorbance}_{\text{max}} - \text{Absorbance}_{\text{spontaneous average}})$ . The same procedure was used for the  $\alpha\text{CD20}$ – $\alpha\text{CD3}$  PNA heterodimer cytotoxicity assay, except  $5 \times 10^4$  target cells (Ramos) were mixed with PBMCs (1:10). The  $\alpha\text{CD20}$  tetramer assay used  $1 \times 10^5$  Ramos cells per well (no PBMCs) with concentrations ranging from 0.6 to 150 nM. LDH levels in the supernatant (after 48 h) were measured. Each experimental test was performed in duplicate or triplicate. Error bars represent the standard deviation.

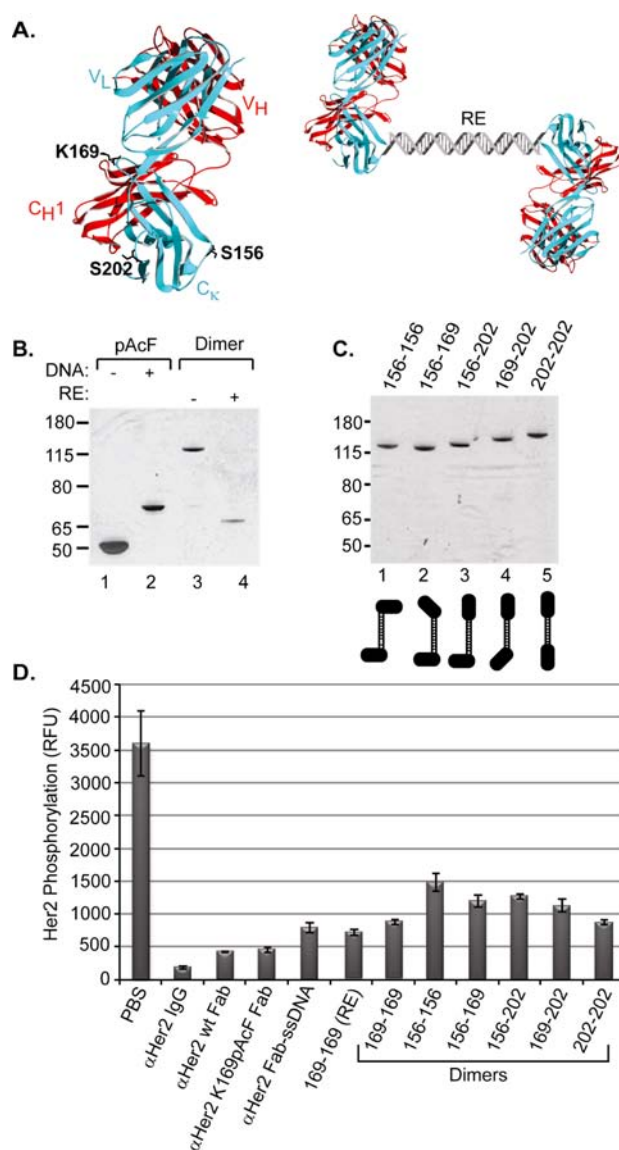
## RESULTS AND DISCUSSION

**DNA-Templated  $\alpha\text{Her2}$  Fab Dimers.** As a model system, we used the Fab fragment of the monoclonal antibody trastuzumab (Herceptin; Genentech/Roche), which binds the antigen Her2 (ErbB2). Her2 is a receptor tyrosine kinase that is overexpressed in 25–30% of breast cancers and acts as a constitutively active dimerization partner with itself and other members of the ErbB family.<sup>22</sup> On the basis of the crystal structure of trastuzumab (Herceptin, PDB: 1N8Z), we

separately mutated three positions (K169, S202 and S156, Figure 1A, left) on the surface-exposed constant region of the light chain ( $C_{\kappa}$ ), distant from the antigen binding site, to the keto amino acid, *p*-acetylphenylalanine (pAcF) (Supporting Information Figure 1).<sup>18</sup> This amino acid can be efficiently and selectively modified with alkoxyamines to form stable oxime conjugates.<sup>23</sup> pAcF was site-specifically introduced into the  $\alpha$ Her2 Fab using an orthogonal amber suppressor aminoacyl-tRNA synthetase/tRNA pair derived from *Methanococcus jannaschii*.<sup>24</sup> Mutants were either expressed in shake flasks (2 mg/L) or high density fermentation (>200 mg/L) in *Escherichia coli* with similar yields to wild-type Fab, and purified by Protein G chromatography. We first used single-stranded DNA (ssDNA) as a template to self-assemble the  $\alpha$ Her2 Fab to form a homodimer (Figure 1A, right). A 30 nucleotide (nt) ssDNA (TACGAGTTGAGACAGCTGATCCTGAATGCG) was designed that does not contain any significant secondary structure,<sup>25</sup> has a suitable  $T_m$  for dimerization ( $T_m = 65$  °C), and an engineered *PvuII* restriction site (underlined) to allow cleavage of the double stranded linker. An aminoxy functionality was coupled to a 5'-thiol ssDNA sequence using a  $C_6$  linker with a terminal maleimide group.<sup>21</sup> We then conjugated the ssDNA to  $\alpha$ Her2 K169pAcF Fab (100  $\mu$ M Fab, 3 mM ssDNA, 100 mM methoxy aniline, pH 4.5, 37 °C, 16 h), and purified the resulting conjugate by anion exchange chromatography. The Fab–oligonucleotide conjugate migrated in SDS–PAGE at  $\sim$ 70 kDa, which is slightly larger than the mobility based only on molecular weight (Figure 1B, compare lanes 1 and 2). Over 90% of the  $\alpha$ Her2 mutant Fab coupled to the aminoxy oligonucleotide as determined by gel densitometry (Supporting information Figure 1). To generate the  $\alpha$ Her2 Fab homodimer, we also coupled the complementary oligonucleotide to the  $\alpha$ Her2 K169pAcF Fab (10  $\mu$ M Fab), and then exchanged the two Fab–oligonucleotide conjugates into annealing buffer (10 mM Tris, 1 mM EDTA, 100 mM NaCl, pH 7.4). The two Fab–oligonucleotide molecules were mixed at a 1:1 molar ratio for 30 min at 37 °C. A single homodimer formed at the expected molecular weight ( $\sim$ 120 kDa) in >95% yield (Figure 1B, lane 3). Upon treatment with the restriction enzyme *PvuII*, the homodimer was fully cleaved to generate one 60 kDa band (lane 4), confirming that the duplex DNA formed correctly.

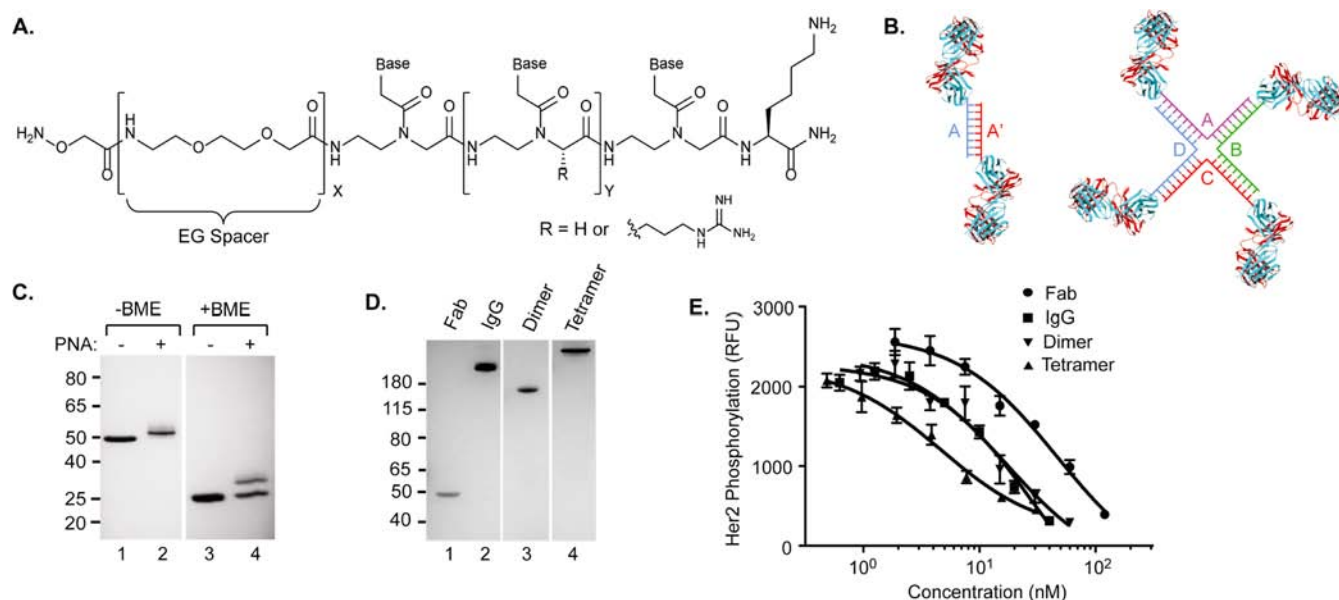
We recently showed that the site of conjugation of an oligonucleotide to an antibody can significantly affect antibody specificity.<sup>18,21</sup> The three residues mutated to pAcF (S156, K169, and S202, Figure 1A) in the  $\alpha$ Her2 Fabs are located in different positions on the surface of the Fab constant region, and would be expected to form different orientations of the antigen binding site relative to the coupled linker. We constructed the remaining five different  $\alpha$ Her2 dimers using the three UAA mutant positions in order to evaluate the effect of conjugation site on biological activity (Figure 1C and Supporting Information Figure 2). Interestingly, these dimers migrate slightly differently on SDS–PAGE, perhaps due to differences in their tertiary structures. We only had to create three  $\alpha$ Her2–ssDNA constructs to generate all possible combinations of  $\alpha$ Her2 heterodimers; these dimers were constructed by simply mixing the relevant Fab–DNA monomers, then purifying by size exclusion chromatography.

To assess the ability of the  $\alpha$ Her2 Fab homodimers to block Her2 signaling,<sup>26</sup> SK-BR-3 (Her2<sup>hi</sup>) cells were treated with each homodimer (2.5  $\mu$ g/mL) and phospho-Her2 levels were determined (see Experimental Section). Although  $\alpha$ Her2



**Figure 1.** Construction of self-assembled  $\alpha$ Her2 dimers. (A) Fab residues mutated to UAAs for site-specific conjugation (left). These mutations are in the constant region of the light chain (blue) which is paired through a disulfide bond with the heavy chain (red) of the  $\alpha$ Her2 Fab (1N8Z). Depiction of oligonucleotide-templated  $\alpha$ Her2 homodimer (right, RE = restriction endonuclease site). (B) Site-specific oligonucleotide conjugation and formation of the  $\alpha$ Her2 DNA homodimer. An aminoxy-modified single-stranded DNA (ssDNA) was either omitted (lane 1) or coupled to  $\alpha$ Her2 K169pAcF Fab (lane 2). The Fabs were analyzed by SDS–PAGE. The conjugate appeared as a lower mobility band (compare lanes 1 and 2) that migrated at a slightly higher molecular weight than the expected molecular weight of the Fab–DNA complex ( $\sim$ 60 kDa). Monomeric Fab–ssDNA components with complementary strands were mixed (lane 3) to produce the homodimer which migrates as one band (lane 3) at the calculated molecular weight ( $\sim$ 120 kDa). Treatment with *PvuII* results in a band with mobility similar to the monomer (lane 4). (C) The remaining five  $\alpha$ Her2 heterodimers were created by using different UAA positions (S156, K169 and S202) in the  $\alpha$ Her2 Fab. (D) All constructs were tested for phospho-Her2 inhibitory activity on Her2 overexpressing SK-BR-3 breast cancer cells. PBS served as the negative control. RFU indicates relative fluorescence units.

wild-type Fab and  $\alpha$ Her2 K169pAcF Fab inhibited Her2 signaling in a cell-based phosphorylation assay, the DNA linked



**Figure 2.** PNA mediated self-assembly and activity of anti-Her2 bispecific and multimeric antibodies. (A) Structure of the aminoxy-modified PNA linker, where X indicates number of ethylene glycol (EG) units and Y indicates number of nucleobases. (B) Schematic depiction of  $\alpha$ Her2 PNA dimer (left) and PNA tetramer (right). For the dimer, complementary oligonucleotides A and A' are coupled to Fabs then mixed to form the dimeric Fab. For the tetramer, complementary oligonucleotides A, B, C, and D allow formation of a cruciform. (C) Site-specific conjugation of aminoxy-PNA to  $\alpha$ Her2 S202pAcF. The  $\alpha$ Her2 S202pAcF Fab was left unconjugated (lanes 1 and 3) or conjugated to PNA (lanes 2 and 4) and analyzed by SDS-PAGE, in the absence (lanes 1 and 2) or presence of  $\beta$ -mercaptoethanol (BME) (lanes 3 and 4). (D) PNA-mediated  $\alpha$ Her2 Fab homodimer and tetramer formation. Each PNA complement was covalently coupled to  $\alpha$ Her2 S202pAcF Fab and then hybridized to form either the homodimer (lane 3) or tetramer (lane 4) as seen by SDS-PAGE analysis. (E) Phosphorylation inhibition assay of wild-type  $\alpha$ Her2 Fab (●),  $\alpha$ Her2 IgG (■),  $\alpha$ Her2 Fab homodimer (▼), and  $\alpha$ Her2 Fab tetramer (▲). ELISA analysis of phosphorylated Her2 levels in SK-BR3 cells using Human Phospho-ErbB<sub>2</sub> DuoSet IC kit (R&D Systems).

dimers were significantly less effective than the monomeric Fabs (Figure 1D). In fact, full-length trastuzumab ( $\alpha$ Her2 IgG) had at least a 4-fold better activity than the DNA linked dimers. However, the alternate linkage positions did have significantly different activities in inhibiting phosphorylation. For example, the homodimer generated with mutant K169pAcF (169–169) was almost 2-fold better at inhibiting phosphorylation than the homodimer created with mutant S156pAcF (156–156). Of note, even the Fab linked to ssDNA (Figure 1D,  $\alpha$ Her2 Fab-ssDNA) had lower activity than the uncoupled Fab ( $\alpha$ Her2 K169pAcF Fab). Thus, although there were differential activities based on specific orientations of the subunits, there was also a general decrease in activity caused by conjugation of ssDNA to the Fabs, which may be due to interfering interactions of the sugar phosphate backbone and the cell membrane.

#### PNA-Templated Self-Assembly of Fab Fragments.

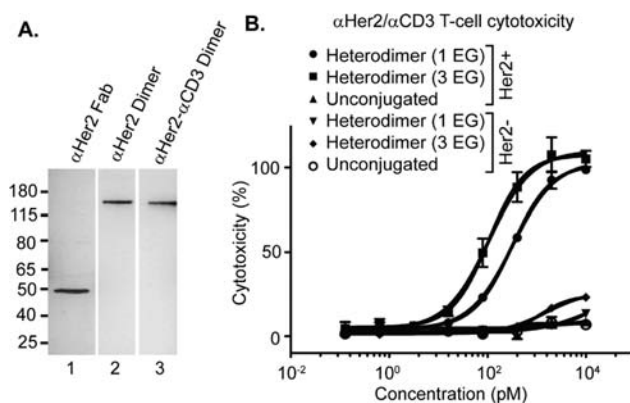
Peptide nucleic acids (PNAs), like DNA, can form highly stable duplexes based on Watson–Crick base pairing and should also allow creation of bi- and multispecific constructs (Figure 2A and B). Unlike DNA, however, the peptide backbones of PNAs are uncharged (or positively charged) and are also resistant to serum nucleases and proteases.<sup>27–29</sup> Furthermore, PNA tagging has already been reported to combinatorially pair small molecules, glycans and peptides.<sup>30–33</sup> Because PNAs have a significantly higher  $T_m$  than their DNA counterpart, we designed a 12 base PNA sequence<sup>34</sup> (N'-ACGCAACGCGGC-C') with a predicted  $T_m$  exceeding 70 °C<sup>35</sup> (Supporting Information Figure 3 and Table S1). Arginine-modified bases (indicated in bold) were added to increase solubility and reduce aggregation of the PNAs.<sup>36</sup> The PNAs were synthesized through automated solid phase

synthesis (see Experimental Section and Supplementary Methods) and cleaved by 95% TFA (trifluoroacetic acid). The aminoxy functionality was introduced at the N-terminus by standard peptide coupling of Boc-protected aminoxy acetic acid, and one ethylene glycol (EG) spacer was also added for flexibility (Figure 2A). All PNAs were characterized by MALDI-TOF mass spectrometry (Supporting Information Figure 3). The PNA was reacted with  $\alpha$ Her2 S202pAcF Fab as described above, but with 20% DMSO as the co-solvent. After 48 h, the reaction was analyzed by SDS-PAGE in the presence and absence of reducing agent  $\beta$ -mercaptoethanol (BME). A  $\sim$ 4 kDa shift of the Fab band corresponds to the PNA conjugate in over 85% yield (Figure 2C, lanes 2 and 4). Formation of the  $\alpha$ Her2 PNA conjugate was confirmed by electrospray ionization mass spectrometry (ESI-MS) (Expected MW, 51 649 Da; Observed MW, 51 649 Da; Supporting Information Figure 4). To form a dimer, we conjugated the complementary PNA to  $\alpha$ Her2 S202pAcF Fab and hybridized the two conjugates (1:1 ratio, 10  $\mu$ M) in PBS (30 min, 37 °C) to form the homodimer (Figure 2B, left). SDS-PAGE revealed a new lower mobility band that migrated at a slightly higher molecular weight than the expected molecular weight of the  $\alpha$ Her2 PNA homodimer ( $\sim$ 110 kDa) (Figure 2D, lane 3). Formation of the dimer was again confirmed by MALDI-TOF mass spectrometry (Expected MW, 103 298 Da; Observed MW, 103 218 Da; Supporting Information Figure 5). We also asked whether we could further enhance the *in vitro* activity of the  $\alpha$ Her2 Fab by creating a higher order multimer. Orthogonal PNAs<sup>37</sup> 20 bases in length with short ethylene glycol (EG) linkers were designed to form a unique cruciform structure, which when coupled to the  $\alpha$ Her2 Fab form a Fab tetramer (Figure 2B, right and Supporting Information Figure 6). Each

PNA was conjugated to  $\alpha$ Her2 Fab separately (as described above) and purified using size exclusion chromatography. To ensure tetramer formation, the conjugates were further purified by a hydrophobic interaction column (HIC, GE Healthcare) to remove any uncoupled Fab (Supporting Information Figure 7). All 4 Fab–PNAs were simply mixed together (1:1:1:1 molar ratio; 10  $\mu$ M) and incubated at 37 °C for 30 min. SDS–PAGE revealed the formation of the tetramer at >90% yield (Supporting Information Figure 8). The tetramer was then purified by size exclusion chromatography (Supporting Information Figure 8) and analyzed by SDS–PAGE (Figure 2D, lane 4)

**In Vitro Activity of PNA Multimers.** We then tested the ability of the  $\alpha$ Her2 PNA multimers to inhibit phosphorylation in SK-BR-3 cancer cells. As shown in Figure 2E, the  $\alpha$ Her2 PNA homodimer inhibits phosphorylation with a similar  $EC_{50}$  as the full-length trastuzumab IgG ( $17 \pm 7$  nM vs  $29 \pm 12$  nM, respectively) and a 2.5-fold better  $EC_{50}$  than monovalent  $\alpha$ Her2 Fab ( $47 \pm 15$  nM). The  $\alpha$ Her2 tetramer has an  $EC_{50}$  of  $4.6 \pm 1.5$  nM which is 6-fold lower than the  $\alpha$ Her2 IgG, consistent with an increased avidity in binding Her2 (Figure 2E). Thus, by replacing DNA with PNA, bivalent and tetravalent Fabs were generated with equivalent or enhanced *in vitro* activity compared to trastuzumab ( $\alpha$ Her2 IgG).

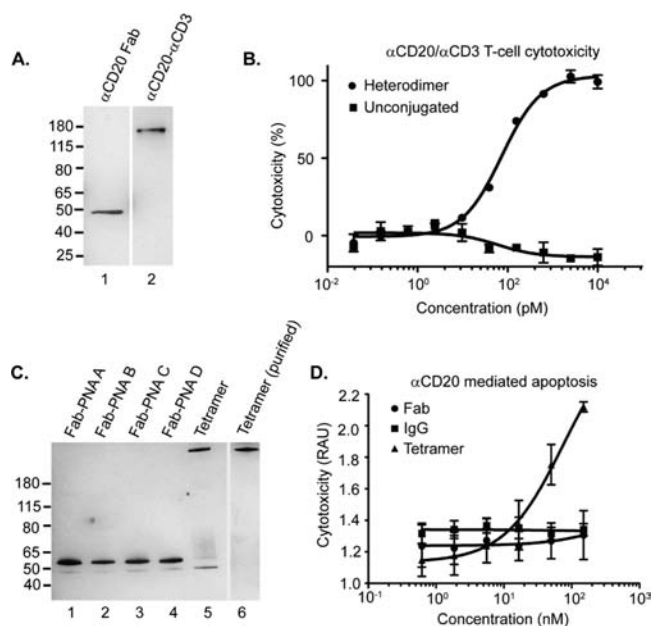
**Synthesis and Activity of  $\alpha$ CD3 Bispecific Antibody Fragments.** To demonstrate the versatility of this self-assembly approach, we next generated a heterodimer consisting of the  $\alpha$ Her2 Fab linked to the Fab of UCHT1, a monoclonal antibody that binds human CD3 on T lymphocytes.<sup>38</sup> This bispecific construct should recruit activated CD8<sup>+</sup> T lymphocytes to kill tumor cells.<sup>39–41</sup> pAcF was incorporated at position K138HC (heavy chain) of the  $\alpha$ CD3 Fab. This site is distant from the combining site, and when conjugated to the  $\alpha$ Her2 mutant Fab should allow productive formation of a pseudo immunological synapse. The requisite aminoxy-PNA strand (N'-H<sub>2</sub>NO-(EG)<sub>3</sub>-GCCGCGTTGCGT-C') (Supporting Information Figure 9) was coupled to  $\alpha$ CD3 K138HCpAcF, purified by filtration (Amicon concentrator 30 kDa MWCO), and characterized by SDS–PAGE and mass spectrometry (Expected MW, 52 207 Da; Observed MW, 52 211 Da; Supporting Information Figure 10). This Fab was then hybridized with  $\alpha$ Her2 Fab S202pAcF-PNA (coupled to the complementary PNA strand) in a 1:1 molar ratio (10  $\mu$ M, 30 min at 37 °C) and purified by size exclusion chromatography. The  $\alpha$ Her2- $\alpha$ CD3 heterodimer migrates as one band on SDS–PAGE (Figure 3A, lane 3), indicating a homogeneous product, and has the expected mass by MALDI-TOF mass spectrometry (Expected MW, 104 146 Da; Observed MW, 104 076 Da; Supporting Information Figure 11). We analyzed the binding properties of the  $\alpha$ Her2- $\alpha$ CD3 heterodimer by flow cytometry. The heterodimer binds to both Her2<sup>+</sup> cells (SK-BR-3) and CD3<sup>+</sup> cells (Jurkat, ATCC), and does not have any affinity for Her2<sup>-</sup> cells (MDA-MB-435) (Supporting Information Figure 12). We next assessed whether the heterodimer induces lysis in Her2<sup>+</sup> cells in the presence of T lymphocytes. Human PBMCs (peripheral blood mononuclear cells) were combined with Her2 transformed target cells (MDA-MB-435/Her2) at a ratio of 10:1,<sup>42</sup> and nontransformed MDA-MB-435 cells were used as an isogenic Her2<sup>-</sup> negative control.<sup>43</sup> Unconjugated  $\alpha$ Her2 Fab and  $\alpha$ CD3 Fab, mixed 1:1, were used as additional negative controls. Both the unconjugated Fabs and heterodimer were added to the PBMC/target cell mixture (0.1 pM to 10 nM) and incubated for 16 h at 37 °C, and LDH



**Figure 3.** Synthesis and activity of  $\alpha$ Her2- $\alpha$ CD3 heterodimer. (A) Characterization of the  $\alpha$ Her2- $\alpha$ CD3 heterodimer by SDS–PAGE. After purification by size exclusion chromatography, the heterodimer (lane 3) forms one band at the same molecular weight as the  $\alpha$ Her2 homodimer (lane 2) on SDS–PAGE. (B) PNA-linked heterodimers mediate T-cell killing of Her2<sup>+</sup> tumor cells. Samples were incubated with PBMCs and MDA-MB-435 cells (either Her2<sup>+</sup> or Her2<sup>-</sup>) for 16 h at 37 °C in RPMI (10% FBS) and cell death was measured by LDH release assay. Percent cytotoxicity was determined by maximum killing controls.

(lactate dehydrogenase) released from lysed cells was measured as an indicator of cytotoxicity.<sup>44</sup> Dose dependent lysis of Her2 positive cells was observed only in the presence of the  $\alpha$ Her2- $\alpha$ CD3 heterodimer (Figure 3B). In addition, the bispecific construct had no significant effect on the Her2 negative cell line, indicating specificity toward Her2<sup>+</sup> cells. The unconjugated mixture also did not affect either cell line. The observed  $EC_{50}$  of the  $\alpha$ Her2- $\alpha$ CD3 heterodimer is  $104 \pm 22$  pM, which is similar to that recently reported for a polyethylene glycol linked heterodimer.<sup>42</sup> This result further shows that PNA base pairing affords an effective conjugation strategy to generate biologically active and potent heterodimers. As discussed previously, length and/or flexibility between the two partners can have an effect on the efficiency of effector cell mediated killing.<sup>45</sup> To demonstrate this point, we compared PNA strands with either one or three EG units between the N-terminus and aminoxy moiety. The 3 EG construct is 3-fold better than the 1 EG construct ( $104 \pm 22$  vs  $313 \pm 27$  pM, respectively, Figure 3B, compare ■ and ●).

To evaluate the generality of this self-assembly approach, we next applied it to rituximab (Rituxan, Genentech/Roche), a monoclonal antibody against CD20, which is used to treat non-Hodgkin's lymphoma.<sup>46,47</sup> We incorporated pAcF into the  $\alpha$ CD20 Fab (S202pAcF) and coupled it to an aminoxy-modified PNA (N'-H<sub>2</sub>NO-(EG)<sub>3</sub>-ACGCAACGCGGC-C'), with a predicted  $T_m$  exceeding 70 °C, as described above (Expected MW, 51 522 Da; Observed MW, 51 492 Da; Supporting Information Figure 13). The  $\alpha$ CD20- $\alpha$ CD3 heterodimer was then synthesized by hybridizing each component (1:1 molar ratio, 10  $\mu$ M) and purifying the construct by size-exclusion chromatography (Figure 4A, lane 2); its composition was also confirmed by mass spectrometry (Expected MW, 103 430 Da; Observed MW, 103 527 Da; Supporting Information Figure 14). We analyzed the cell binding properties of the heterodimer by flow cytometry and found that it binds to both CD20<sup>+</sup> B-cells (Ramos) and CD3<sup>+</sup> T-cells (Jurkat), but does not have any affinity for CD20<sup>-</sup> cells (K562) (Supporting Information Figure 15). The activity of the



**Figure 4.** Construction and activity of  $\alpha$ CD20 bispecific and tetrameric antibodies. (A) Characterization of the  $\alpha$ CD20– $\alpha$ CD3 heterodimer (lane 2) by SDS–PAGE analysis compared to the  $\alpha$ CD20 Fab (lane 1). (B) PNA-linked heterodimers mediate T-cell killing of CD20+ target cells. The bispecifics were incubated with PBMCs and Ramos cells (10:1) for 16 h at 37 °C and analyzed by LDH release assay. Percent cytotoxicity was determined by maximum killing controls. (C) Each PNA complement was covalently coupled to  $\alpha$ CD20 S202pAcF Fab (lanes 1–4) and hybridized to form the  $\alpha$ CD20 tetramer (lane 5). The  $\alpha$ CD20 tetramer was purified by size exclusion chromatography and analyzed by SDS–PAGE (lane 6). (D) An  $\alpha$ CD20 tetramer induces cell death. The  $\alpha$ CD20 tetramer ( $\blacktriangle$ ), rituximab IgG ( $\blacksquare$ ), or  $\alpha$ CD20 Fab ( $\bullet$ ) were incubated with Ramos cells ( $10^5$  cells per well) for 48 h at 37 °C in RPMI (10% FBS) and analyzed by LDH release assay. RAU indicates relative absorbance units.

bispecific molecule was then assessed with PBMCs and Ramos cells (10:1 ratio, respectively). The  $\alpha$ CD20– $\alpha$ CD3 PNA dimer efficiently induces cell death ( $EC_{50} = 74 \pm 9$  pM), while the mixture of unconjugated Fabs does not have any effect on the target cells (Figure 4B).

**Synthesis and Activity of  $\alpha$ CD20 Tetramer.** Rituximab acts primarily through ADCC, and does not directly induce lymphoma cell apoptosis.<sup>46–48</sup> Recently, however,  $\alpha$ CD20 tetramers have been shown to induce apoptosis in lymphoma cells as either an Fab2 homodimer,<sup>49,50</sup> or through more complex genetic fusion proteins.<sup>51</sup> On the basis of these observations, we assembled an  $\alpha$ CD20 tetramer using our site-specific PNA technology. Four aminoxy-PNA sequences were conjugated to  $\alpha$ CD20 S202pAcF Fab in an identical fashion to the construction of the  $\alpha$ Her2 Fab tetramer (see Figure 2B, right). All four sequences couple efficiently to the  $\alpha$ CD20 Fab, and when combined in a 1:1:1:1 molar ratio (10  $\mu$ M), the  $\alpha$ CD20 tetramer forms in over >85% yield (Figure 4C, lanes 1–5). The tetramer was then purified using size exclusion chromatography and showed a clearly defined product by SDS–PAGE (Figure 3C, lane 6). The  $\alpha$ CD20 tetramer was tested for its ability to directly kill Ramos cells compared to the  $\alpha$ CD20 Fab and  $\alpha$ CD20 IgG (rituximab) controls (concentrations ranging from 0.6 to 150 nM). Neither the Fab nor the IgG has any effect on CD20+ cells; however, there is a clear

dose dependent killing of the  $\alpha$ CD20 tetramer (Figure 4D) which induces apoptotic activity comparable to other tetrameric constructs.<sup>50</sup>

## CONCLUSION

Bispecific antibodies have substantial promise in clinical medicine, but are often challenging to generate. Fusion proteins can have substantial stability and aggregation problems, are not amenable to steric control of the binding sites, and cannot easily be made with both multivalent as well as multispecific properties. We have created a general and straightforward approach for generating homogeneous, well-defined Fab multimers of defined composition, valency, and specificity. This self-assembly technology can be easily applied to multiple antibodies to create geometrically controlled homodimers, heterodimers and higher-order multimers. To illustrate the technology, we created an  $\alpha$ Her2 PNA-linked homodimer that exhibits comparable *in vitro* activity to the FDA approved drug, trastuzumab. In addition, the generality of this approach enabled us to synthesize two heterodimers with  $\alpha$ CD3 Fab ( $\alpha$ Her2– $\alpha$ CD3 and  $\alpha$ CD20– $\alpha$ CD3) that were potent in targeting T-cells to tumor cells *in vitro*. To demonstrate that this technology can easily be applied to even higher order multimers, we created  $\alpha$ Her2 and  $\alpha$ CD20 tetramers. The latter contains a novel apoptotic inducing activity not present in the bivalent rituximab IgG. The Fab–PNA multimers are very stable ( $T_m > 70$  °C) and can withstand SDS–PAGE gel, as well as long incubation times in serum without degradation. Thus, new pharmacologic activities may be identified using this approach, which would not be easily accessible using standard antibody discovery techniques. Since the multimeric constructs can be rapidly generated by mixing the relevant Fab–PNA subunits, which allows spontaneous base pairing and selective complex formation, libraries of “binders” and “effector” components can be easily “mixed and matched” to form a large series of molecules for *in vitro* functional testing. Components of such a library could include PNAs that enable production of several homo- and heterodimers, with properties including T-cell recruitment, complement fixation, toxin activity, or imaging features. For example, one could envision the assembly of an  $\alpha$ Her2– $\alpha$ Her3– $\alpha$ CD3 trimer which can recruit activated T-lymphocytes while targeting both ErbB2 and ErbB3 simultaneously. Finally, this technology can also be used to attach PNA–drugs and PNA–PEI probes to create site-specific antibody drug conjugates (ADCs) and imaging agents, respectively. In this regard, the PNA itself may be designed to be active as an antisense reagent, which, when conjugated to an antibody, could selectively knockdown important oncogenes.<sup>52</sup>

## ASSOCIATED CONTENT

### Supporting Information

Supporting figures and methods. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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## Notes

The authors declare no competing financial interest.

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